



COPY OF PAPERS
ORIGINALLY FILED

Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,497	11/09/2001	Cecile Drogou	1974.PKG

CONFIRMATION NO. 3378

FORMALITIES LETTER



OC000000007527770

Cynthia L. Foulke
NATIONAL STARCH AND CHEMICAL COMPANY
10 Finderne Avenue
Bridgewater, NJ 08807-0500

Date Mailed: 02/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/23/2002 HVUONG1 00000036 140455 10053497

01 FC:101 740.00 CH
02 FC:105 130.00 CH

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FREE TRANSMITTAL
for FY 2002

APR 22 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**870.00**

Complete if Known

Application Number	10/053,497
Filing Date	November 9, 2001
First Named Inventor	Drogan
Examiner Name	
Group Art Unit	1772
Attorney Docket No.	1974.PKG

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																													
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 14-0455</p> <p>Deposit Account Name: _____</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205 65 Surcharge - late filing fee or oath</td> <td>130.00</td> </tr> <tr> <td>127</td> <td>50</td> <td>227 25 Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139 130 Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147 2,520 For filing a request for <i>ex parte</i> reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112 920* Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113 1,840* Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215 55 Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>400</td> <td>216 200 Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>920</td> <td>217 460 Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218 720 Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,960</td> <td>228 980 Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>320</td> <td>219 160 Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220 160 Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221 140 Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138 1,510 Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240 55 Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,280</td> <td>241 640 Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,280</td> <td>242 640 Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>460</td> <td>243 230 Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>620</td> <td>244 310 Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122 130 Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123 50 Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126 180 Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581 40 Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146</td> <td>740</td> <td>246 370 Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>740</td> <td>249 370 For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>179</td> <td>740</td> <td>279 370 Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>169</td> <td>900</td> <td>169 900 Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="3">Other fee (specify) _____</td> <td></td> </tr> <tr> <td colspan="3">SUBTOTAL (3) (\$)</td> <td>130.00</td> </tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205 65 Surcharge - late filing fee or oath	130.00	127	50	227 25 Surcharge - late provisional filing fee or cover sheet		139	130	139 130 Non-English specification		147	2,520	147 2,520 For filing a request for <i>ex parte</i> reexamination		112	920*	112 920* Requesting publication of SIR prior to Examiner action		113	1,840*	113 1,840* Requesting publication of SIR after Examiner action		115	110	215 55 Extension for reply within first month		116	400	216 200 Extension for reply within second month		117	920	217 460 Extension for reply within third month		118	1,440	218 720 Extension for reply within fourth month		128	1,960	228 980 Extension for reply within fifth month		119	320	219 160 Notice of Appeal		120	320	220 160 Filing a brief in support of an appeal		121	280	221 140 Request for oral hearing		138	1,510	138 1,510 Petition to institute a public use proceeding		140	110	240 55 Petition to revive - unavoidable		141	1,280	241 640 Petition to revive - unintentional		142	1,280	242 640 Utility issue fee (or reissue)		143	460	243 230 Design issue fee		144	620	244 310 Plant issue fee		122	130	122 130 Petitions to the Commissioner		123	50	123 50 Processing fee under 37 CFR 1.17(q)		126	180	126 180 Submission of Information Disclosure Stmt		581	40	581 40 Recording each patent assignment per property (times number of properties)		146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279 370 Request for Continued Examination (RCE)		169	900	169 900 Request for expedited examination of a design application		Other fee (specify) _____				SUBTOTAL (3) (\$)			130.00
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																												
105	130	205 65 Surcharge - late filing fee or oath	130.00																																																																																																																												
127	50	227 25 Surcharge - late provisional filing fee or cover sheet																																																																																																																													
139	130	139 130 Non-English specification																																																																																																																													
147	2,520	147 2,520 For filing a request for <i>ex parte</i> reexamination																																																																																																																													
112	920*	112 920* Requesting publication of SIR prior to Examiner action																																																																																																																													
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action																																																																																																																													
115	110	215 55 Extension for reply within first month																																																																																																																													
116	400	216 200 Extension for reply within second month																																																																																																																													
117	920	217 460 Extension for reply within third month																																																																																																																													
118	1,440	218 720 Extension for reply within fourth month																																																																																																																													
128	1,960	228 980 Extension for reply within fifth month																																																																																																																													
119	320	219 160 Notice of Appeal																																																																																																																													
120	320	220 160 Filing a brief in support of an appeal																																																																																																																													
121	280	221 140 Request for oral hearing																																																																																																																													
138	1,510	138 1,510 Petition to institute a public use proceeding																																																																																																																													
140	110	240 55 Petition to revive - unavoidable																																																																																																																													
141	1,280	241 640 Petition to revive - unintentional																																																																																																																													
142	1,280	242 640 Utility issue fee (or reissue)																																																																																																																													
143	460	243 230 Design issue fee																																																																																																																													
144	620	244 310 Plant issue fee																																																																																																																													
122	130	122 130 Petitions to the Commissioner																																																																																																																													
123	50	123 50 Processing fee under 37 CFR 1.17(q)																																																																																																																													
126	180	126 180 Submission of Information Disclosure Stmt																																																																																																																													
581	40	581 40 Recording each patent assignment per property (times number of properties)																																																																																																																													
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																													
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																													
179	740	279 370 Request for Continued Examination (RCE)																																																																																																																													
169	900	169 900 Request for expedited examination of a design application																																																																																																																													
Other fee (specify) _____																																																																																																																															
SUBTOTAL (3) (\$)			130.00																																																																																																																												
<p>FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>740</td> <td>201 370 Utility filing fee</td> <td>740.00</td> </tr> <tr> <td>106</td> <td>330</td> <td>206 165 Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>510</td> <td>207 255 Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>740</td> <td>208 370 Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>160</td> <td>214 80 Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="3">SUBTOTAL (1) (\$)</td> <td></td> </tr> </tbody> </table> <p>2. EXTRA CLAIM FEES</p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>20</td> <td>-20** = 0</td> <td>0</td> <td>0</td> </tr> <tr> <td>2</td> <td>-3** = 0</td> <td>0</td> <td>0</td> </tr> <tr> <td colspan="4">Multiple Dependent Claims</td> </tr> </tbody> </table> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>103</td> <td>18</td> <td>203 9 Claims in excess of 20</td> <td></td> </tr> <tr> <td>102</td> <td>84</td> <td>202 42 Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>104</td> <td>280</td> <td>204 140 Multiple dependent claim, if not paid</td> <td></td> </tr> <tr> <td>109</td> <td>84</td> <td>209 42 ** Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>110</td> <td>18</td> <td>210 9 ** Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="3">SUBTOTAL (2) (\$)</td> <td>0</td> </tr> </tbody> </table> <p>**or number previously paid, if greater; For Reissues, see above</p>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101	740	201 370 Utility filing fee	740.00	106	330	206 165 Design filing fee		107	510	207 255 Plant filing fee		108	740	208 370 Reissue filing fee		114	160	214 80 Provisional filing fee		SUBTOTAL (1) (\$)				Total Claims	Extra Claims	Fee from below	Fee Paid	20	-20** = 0	0	0	2	-3** = 0	0	0	Multiple Dependent Claims				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103	18	203 9 Claims in excess of 20		102	84	202 42 Independent claims in excess of 3		104	280	204 140 Multiple dependent claim, if not paid		109	84	209 42 ** Reissue independent claims over original patent		110	18	210 9 ** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2) (\$)			0																																																						
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																												
101	740	201 370 Utility filing fee	740.00																																																																																																																												
106	330	206 165 Design filing fee																																																																																																																													
107	510	207 255 Plant filing fee																																																																																																																													
108	740	208 370 Reissue filing fee																																																																																																																													
114	160	214 80 Provisional filing fee																																																																																																																													
SUBTOTAL (1) (\$)																																																																																																																															
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																												
20	-20** = 0	0	0																																																																																																																												
2	-3** = 0	0	0																																																																																																																												
Multiple Dependent Claims																																																																																																																															
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																												
103	18	203 9 Claims in excess of 20																																																																																																																													
102	84	202 42 Independent claims in excess of 3																																																																																																																													
104	280	204 140 Multiple dependent claim, if not paid																																																																																																																													
109	84	209 42 ** Reissue independent claims over original patent																																																																																																																													
110	18	210 9 ** Reissue claims in excess of 20 and over original patent																																																																																																																													
SUBTOTAL (2) (\$)			0																																																																																																																												

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Cynthia L. Fortke	Registration No. (Attorney/Agent)	32,364
Signature	<i>Cynthia Fortke</i>	Telephone	908-685-7483
		Date	April 12, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.